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#### Abstract

            


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# Transformations for data compression LZ algorithms 

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## Overview

■ Burrows-Wheeler Transformation

- Move-To-Front

■ Run-Lengh Encoding

- FM-Index


## Transformation

- We use transformations to modify input sequence so that it is more suitable for compression (or easily compressed).
- Transformation is usually followed by statistical coding methods.
- If the transformation is reversible then we use it in lossless compression (BWT, MTF, RLE).
- Non-reversible transformations used in multimedia compression (JPEG, MPEG).


Figure: BWT based compression schema - from MDPI

## Burrows-Wheeler Transformation - BWT

- Developed in 1994 by Michael Burrows and David Wheeler.
- The main idea of the BWT method is to start with a string $S$ of $n$ symbols and to permute them into another string $L$ that satisfies two conditions:
1 Any region of $L$ will tend to have a concentration of just a few symbols.
2 It is possible to reconstruct the original string $S$ from $L$.


## Burrows-Wheeler Transformation - Example

■ BWT is based on sorting of input sequence rotations.


Figure: Handbook of Data Compression, page 1090.

## Burrows-Wheeler Transformation - Why it works?

■ Consider that somewhere in the text there are these words: bail, fail, hail, jail, mail, nail, pail, rail, sail, tail and wail.
■ Once the rotations are sorted there will be rotations beggining with il

| F |  |  |  | L |
| :---: | :---: | :---: | :---: | :---: |
| $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$ |
| i | I | $\ldots$ | b | a |
| i | I | $\ldots$ | f | a |
| i | I | $\ldots$ | h | a |
| i | I | $\ldots$ | j | a |
| i | I | $\ldots$ | m | a |
| i | I | $\ldots$ | n | a |
| $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$ |

## BWT - Last To First Mapping

Consider another example:


Sort Burrows-Wheeler
Index all symbols by their order of occurence.

$$
\mathbf{a}_{0} \mathbf{b}_{0} \mathbf{a}_{1} \mathbf{a}_{2} \mathbf{b}_{1} \mathbf{a}_{3} \mathbf{\$}
$$

## BWT - Last To First Mapping

## LF mapping

The order of occurences of a symbol in $F$ and $L$ are equal.


## BWT - Last To First Mapping

## LF mapping

The order of occurences of a symbol in $F$ and $L$ are equal.


■ \$ denotes the special symbol for the end of sequence.

- The symbol in $F$ column is preceeded by the symbol in $L$ column in original message.



## Decoding - process outline

1 Construct the $F$ column by sorting the $L$ column.
2 Construct a mapping between symbols in $L$ and $F$, i.e. compute the index $T$ of each symbol in $L$ to $F$.
3 Start with the first line and say it is the current row. It contains $\$$ in $F$.

4 For the current row the symbol in $L$ column is the symbol in the original sequence. Output the symbol and locate it in $F$ (it becomes the current line) repeat this step until the message is reconstructed.

The message decoded in this way is in reversed order since we decoded the original sequence from the last symbol.

## BWT - implementation notes

- Construction of all rotations $O\left(n^{2}\right)$ time and space operation.
- Instead sufficient to keep indexes of rotations with respect to the original string: suffix array.
- Sorting of strings is generally $O(n \log n)$ operation, SA-IS algorithm can do it in $O(n)$.
■ Usually applied on fixed-length block of text.


## BWT - solution to subsequence exists problem

■ Start matching from the last symbol of the searched pattern $P$.
■ We know that the last symbol is located in the region that belongs to $a$ symbol in $F$.

$$
P=\mathbf{a b a}
$$



- Use LF mapping to find the indexes of $b$ in $L$ within the range of $a$.

$$
P=\mathbf{a} \mathbf{b} \mathbf{a}
$$



## BWT - solution to subsequence exists problem

■ Locate the top and bottom $b$ in the $F$ column $=>$ again it defines the range in which we will search.

- We immediatelly see that both these $b s^{\prime}$ were preceeded by $a$, so we located pattern $P=a b a$.

$$
P=\mathbf{a b a} \quad P=\mathbf{a b a}
$$

## FM-Index - exists, count and locate

- The range of solutions to exists operation gives us also the number of occurences - count operation - of the pattern.
- Together with suffix array we can prepare locate operation.

|  | $F$ |
| :---: | :---: |
|  |  |
|  |  |
| $[3,5)$ |  |
|  |  |
|  |  |



## FM-Index remarks

- Using additional structures we can implement exists, count and locate operations that works with $O(n)$ time complexity, where $n$ is the length of the pattern.
- FM-Index is the data structured that allowed almost real time processing of the Next-Generation Sequencing of DNA.
- bzip2 - standard data compression algorithm, characteristical with extremely good compression ratio.
- Option in 7zip software.
- Consists of several consecutive transformations eventually compressed by Huffman or Arithmetic coding.


Figure: bzip2 compression schema - from MDPI

## Move-To-Front coding

■ First published by Ryabko in 1980 but authorship officialy belongs to Bentley in paper from 1986.

- The basic idea of this method is to maintain the alphabet $\Sigma$ of symbols as a list where frequently-occurring symbols are located near the front.
- A symbol $s$ is encoded as the number of symbols that precede it in this list.


## Move-To-Front coding - Example

Encoding $s=a a b c d e e d$

| Current alphabet | Current Symbol | Output |
| :---: | :---: | :---: |
| abcde | a | 0 |
| abcde | a | 0 |
| abcde | b | 1 |
| bacde | c | 2 |
| cbade | d | 3 |
| dcbae | e | 4 |
| edcba | e | 0 |
| edcba | d | 1 |

■ Remember - BWT groups symbols so they occur nearby or even repeat in $L$ column.
■ MTF encodes nearby symbols by small numbers.
■ MTF encodes symbol repetition as a sequence of zeros.

## Move-To-Front coding - Decoding

Decoding $c=00123401$ if the alphabet is $\Sigma=\{a, b, c, d, e\}$.

| Current alphabet | Current Symbol | Output |
| :---: | :---: | :---: |
| abcde | 0 | a |
| abcde | 0 | a |
| abcde | 1 | b |
| bacde | 2 | c |
| cbade | 3 | d |
| dcbae | 4 | e |
| edcba | 0 | e |
| edcba | 1 | d |

- Each time we decode a symbol we move it to the front of the alphabet list.


## Run-length encoding

- If a data item $d$ occurs $n$ consecutive times in the input stream, replace the n occurrences with the single pair $(n, d)$.
- The $n$ consecutive occurrences of a data item are called a run length of $n$, and this approach to data compression is called run-length encoding or RLE.
- Example: RLE(aabcdddaeeee) $=2 a 1 b 1 c 3 d 1 a 4 e$

■ Not very usefull for text compression. Each additional byte used to represent run-length would effectively doubled the size of the input.

## Run-length encoding - modification 1

■ Use special character such as @ to denote repetition.

- Example: RLE(aabcdddaeeee) = @2abc@3da@4e

■ Does it make sense to encode symbol repeating twice or three times? No, apply the rule only if more than three repetitions are present.

- Example: RLE(aabcdddaeee) = aabcddda@4e -> saved one byte


## Run-length encoding - modification 2

- We usually view symbols and bytes as the same quantity.

■ What if all symbols of the alphabet (i.e. all byte values) are used, so that we cannot use special symbol?

- Say we have $n$ consecutive occurences of some symbol $x$, we send first $k$ repetitions of $x$ uncompressed and the rest of repetitions encoded by RLE.
- If decoder decodes $k$ repetitions then it will know that RLE compressed pair will follow.
■ $\operatorname{RLE}(a a a a a b)=a a 3 a b$


# Thank you for your attention 

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