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#### Abstract

            


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# Dictionary Compression LZ algorithms 

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## Overview

■ Dictionary coding

- LZ77

■ LZSS
■ LZ78
■ LZW

## Dictionary Coding

## Principle

Encode the next phrase as a pointer to an already processed part of a message.

$$
\begin{aligned}
& \text { processed | unprocessed } \\
& \text { abacdddd|abaceeee }
\end{aligned}
$$

- Different methods differ in a construction of processed part and encoding of pointer to a processed part.
- Processed part becomes a dictionary.


## Dictionary Matching

■ Input string: $s=a b b a b b a b b b a a b$.

| Processed | Unprocessed | Pointer | Match Length |
| :---: | :---: | :---: | :---: |
|  | abbabbabbbaab | 0 | 0 |
| a | bbabbabbbaab | 0 | 0 |
| ab | babbabbbaab | 1 | 1 |
| abb | abbabbbaab | 3 | 6 |
| abbabbabb | baab | 4 | 2 |
| abbabbabbbba | $a b$ | 6 | 2 |

■ Each step of LZ77 algorithm forms a triplet (Pointer, Match Length, Next symbol) $\rightarrow(\mathrm{P}, \mathrm{L}, \mathrm{N})$.

| Processed | Unprocessed | P | L | N |
| :---: | :---: | :---: | :---: | :---: |
|  | abbabbabbbaab | 0 | 0 | a |
| a | bbabbabbbaab | 0 | 0 | b |
| ab | babbabbbaab | 1 | 1 | a |
| abba | bbabbbaab | 3 | 5 | b |
| abbabbabbb | aab | 4 | 1 | a |
| abbabbabbbbaa | b | 0 | 0 | b |

Encoded as: $(0,0, a),(0,0, b),(1,1, a),(3,5, b),(4,1, a),(0,0, b)$

- Decoding triplets: $(0,0, a),(0,0, b),(1,1, a),(3,5, b),(4,1, a),(0,0, b)$

| Processed | Triplet |
| :---: | :---: |
|  | $(0,0, a)$ |
| $a$ | $(0,0, b)$ |
| ab | $(1,1, a)$ |
| abba | $(3,5, b)$ |
| abbabbabba | $(4,1, a)$ |
| abbabbabbbaa | $(0,0, b)$ |
| abbabbabbbaab |  |

## LZ77 - Implementation details

■ No need to store double zeros: $(0,0, x) \rightarrow(0, x)$.
■ Typical Pointer size 10-12 bits: 1024, 4096 last symbols stored in the processed part
■ Match length up to 32 symbols, i.e. 5 bits.
■ The next symbol is usually encoded by 8 bits.

- Totally: 25 bits per triplet.

■ Further improved by statistical coding of fields P, L and N separately.

- Processed part implemented using circular buffer.

■ Described in 1977 by Lempel and Ziv.

- Processed and unprocessed part together called sliding window.
- Approaches k-order entropy.
- Key method in PKZIP v1.

■ Modification of LZ77 by Szymanski and Storer published in 1982.
■ Encodes a phrase by (Flag, Pointer, Length) or (Flag, Next).

| Processed | Unprocessed | Output |
| :---: | :---: | :---: |
|  | abbabbabbbaab | $(0, a)$ |
| a | bbabbabbbaab | $(0, b)$ |
| ab | babbabbbaab | $(1,1,1)$ |
| abb | abbabbbaab | $(1,3,6)$ |
| abbabbabb | baab | $(1,4,2)$ |
| abbabbabbbba | $a b$ | $(1,6,2)$ |

■ Unprocessed part stored in circular queue.

- Processed part stored in binary search tree - more efficient localization of matches.
■ LZSS followed by Huffman coding used in Deflate(PKZIP v2), GZIP, RAR.
- Deflate - main algorithm in HTTP compression.
- Published in 1978 by Lempel and Ziv.

■ Builds a dictionary of observed phrases and outputs tupple (Pointer, Next Symbol).

| Phrase ID | Dictionary | Unprocessed | Token |
| :---: | :---: | :---: | :---: |
| 0 | null | abbabbabbbaab |  |
| 1 | $a$ | bbabbabbbaab | $(0, a)$ |
| 2 | $b$ | babbabbbbab | $(0, b)$ |
| 3 | $b a$ | bbabbbaab | $(2, a)$ |
| 4 | $b b$ | abbbaab | $(2, b)$ |
| 5 | $a b$ | bbaab | $(1, b)$ |
| 6 | bba | $a b$ | $(4, a)$ |
| 7 |  |  | $(1, b)$ |

Encoded as: $(0, a),(0, b),(2, a),(2, b),(1, b),(4, a),(1, b)$.

Decoding: $(0, a),(0, b),(2, a),(2, b),(1, b),(4, a),(1, b)$.

| Token | Output | Phrase ID | Dictionary |
| :---: | :---: | :---: | :---: |
| $(0, a)$ |  | 0 | null |
| $(0, b)$ | b | 1 | a |
| $(2, \mathrm{a})$ | ba | 3 | b |
| $(2, \mathrm{~b})$ | bb | 4 | ba |
| $(1, \mathrm{~b})$ | ab | 5 | bb |
| $(4, \mathrm{a})$ | bba | 6 | ab |
| $(1, \mathrm{~b})$ | ab |  | bba |
|  |  |  |  |

## LZ78 - implementation details

- The size of dictionary is either fixed or uses all available memory.

■ As dictionary grows it may fill all memory. Possible solutions:

- Freeze the dictionary (no new entries will be added) and use it as a static dictionary.
- Delete the entire dictionary and start building from scretch.
- Delete some of the most recently added entries. No good heuristics known.
■ Dictionary stored in LZ78 dictionary tree:


Figure: Handbook of Data Compression, Salomon, p. 356

■ Variant of LZ78 published in 1984 by Terry Welsch.

- Eliminates the symbol field in the token.
- It starts with initialization of the dictionary with all symbols of the alphabet (usually all 8 bit values).
- The principle of LZW is that the encoder inputs symbols one by one and accumulates them in a string $I$.
- After each symbol is input and is concatenated to I, the dictionary is searched for string I.
- As long as I is found in the dictionary, the process continues. At a certain point, adding the next symbol $\times$ causes the search to fail; string $I$ is in the dictionary but string $I \times$ (symbol $\times$ concatenated to $I$ ) is not.
- At this point the encoder (1) outputs the dictionary pointer that points to string $I$, (2) saves string lx (which is now called a phrase) in the next available dictionary entry, and (3) initializes string I to symbol $x$.


## LZW

Let $\mathrm{s}=\mathrm{abbabb}$

| I | in Dict? | Dict ID | New entry | Output |
| :---: | :---: | :---: | :---: | :---: |
|  |  | 0 | $a$ |  |
|  |  | 1 | b |  |
| a | Y |  |  |  |
| ab | N | 2 | ab | 0 |
| b | Y |  |  |  |
| bb | N | 3 | bb | 1 |
| b | Y |  |  |  |
| ba | N | 4 | ba | 1 |
| a | Y |  |  |  |
| ab | Y |  |  |  |
| abb | N | 5 | abb | 2 |
| b | Y |  |  | 1 |

- Decoder should reinitialize dictionary with input alphabets symbols.
- In the first decoding step, the decoder inputs the first pointer and uses it to retrieve a dictionary item I. This is a string of symbols, and it is written on the decoder's output. String lx needs to be saved in the dictionary, but symbol $x$ is still unknown; it will be the first symbol in the next string retrieved from the dictionary.
- In each decoding step after the first, the decoder inputs the next pointer, retrieves the next string $J$ from the dictionary, writes it on the output, isolates its first symbol $x$, and saves string $l x$ in the next available dictionary entry (after checking to make sure string Ix is not already in the dictionary). The decoder then moves J to I and is ready for the next step.


## LZW - decoding

Decoding $s=0,1,1,2,1$, given the alphabet is $\Sigma=\{a, b\}$.

| Pointer | I | J | in Dict? | Dict ID | New entry | Output |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | 0 | a |  |
|  |  |  |  | 1 | b |  |
| 0 |  | a | Y |  |  | a |
| 1 | ab | b | N | 2 | ab | b |
| 1 | bb | b | N | 3 | bb | b |
| 2 | ba | ab | N | 4 | ba | ab |
| 1 | abb | b | N | 5 | abb | b |

## LZW - remarks

■ Unix - compress utility.

- GIF image format.
- Optionally used in TIFF and PDF files. Adobe Acrobat prefers DEFLATE for text.


## Additional Reading

■ LZ77 and LZ78 optimality proof - Elements of Information Theory pp 440-456

- Technical discussion of LZ algorithm family - Handbook of Data Compression, Salomon, pp 329-375.


# Thank you for your attention 

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