# Data Compression Using Grammar-Based Codes 

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## Grammar-Based Codes

The main objective of a grammar-based data compression algorithms is to find the smallest grammar that replaces raw representation of input message.

Example
Let $m=a b c d a b c d a b$. The CFG representation of $m$ :

$$
\begin{aligned}
& S \rightarrow 220 \\
& 0 \rightarrow a b\left(m_{1}=0 c d 0 c d 0\right) \\
& 1 \rightarrow 0 c\left(m_{2}=1 d 1 d 0\right) \\
& 2 \rightarrow 1 d
\end{aligned}
$$

- Competitive compression ratio, fast decompression, compressed pattern matching.


## The Smallest Grammar Problem

The smallest grammar problem is NP-hard (Charikar 2005)
Find a grammar so that the sum of the number of symbols on the right side of production rules is minimal.

- Usually not possible to find an optimal solution $\Rightarrow$ use of heuristics.
- Framework of Re-Pair algorithm
(1) Find a pair of symbols $a b$ so that the frequency $f(a b)$ is maximal.
(2) Replace all occurences of $a b$ for some yet unused symbol $\gamma$.
(3) Repeat Steps 1. and 2. until for all pairs p: $f(p)<2$.


## Objectives

## Main idea

Application of a production rule leads to the change of the message length and zero-order entropy. Can we predict this change?

Main objective
Quantify how much the resulting compressed size will change before the production rule is applied.

- Identify quantities that are modified by grammar transformation.
- Describe how these quantities influence subsequent application of statistical coder.
- Propose algorithms (strategies) exploiting this knowledge.


## Measuring size of strings

Two approaches how to measure the size of the message $m$ :

- The number of symbols in $m:|m|$
- Entropic size of messages:

$$
\begin{align*}
|m|^{H} & =|m| H_{0}(X) \\
& =-|m| \sum_{x \in X} p(x) \log p(x) \tag{1}
\end{align*}
$$

MinEnt Strategy: The largest entropic size reduction first. (Vasinek 2017)

$$
\begin{equation*}
\Delta|m|^{H}=\left|m_{0}\right|^{H}-\left|m_{1}\right|^{H} \tag{2}
\end{equation*}
$$

Select and replace repeated string $s$ so that $\Delta|m|^{H}$ is maximal.

## Entropic Size Change

Computation of $\Delta|m|^{H}$ for $a b \rightarrow \gamma$ replacement (Vasinek 2017)

$$
\begin{align*}
\Delta|m|^{H} & =\left[\left|m_{0}\right|-\sum_{x \in \Sigma \backslash \Sigma^{T}} f_{0}(x)\right] \log c_{1} \\
& +\sum_{x \in \Sigma^{T}} f_{0}(x) \log c_{2}(x)+\Delta f(x) \log c_{2}(x)+\Delta f(x) \log p_{0}(x)  \tag{3}\\
& +\Delta f(\gamma)\left[\log \Delta f(\gamma)-\log \left(\left|m_{0}\right|+\Delta m\right)\right]
\end{align*}
$$

- Symbols whose frequency do not change: $\Sigma \backslash\{a, b\}$.
- Symbols participating in production rule, i.e. their frequency changes, but their initial frequency is non-zero: $\Sigma_{T}=\{a, b\}$.
- Symbols introduced into the message: $\{\gamma\}$.


## Paradoxes of Recursive Pairing

Example - Reduction Paradox
Let $m_{0}=$ abbaabacbd. Only pair ab has frequency greater than 1 :

$$
\begin{aligned}
m_{1} & \rightarrow 0 b a 0 a c b d \\
0 & \rightarrow a b
\end{aligned}
$$

- $\left|m_{1}\right|<\left|m_{0}\right|$
- $\Delta|m|^{H}=\left|m_{0}\right|^{H}-\left|m_{1}\right|^{H}=-0.78$ bits.
- Reduction paradox: decreasing the length of the message not necessarily leads to decrease of the entropic size!
- Expansion paradox: direct consequence of the reduction paradox.


## Comparison of $\Delta|m|^{H}$ evolution - Re-Pair and MinEnt



Figure: calgary/book1

## The Smallest Grammar Problem Revisited

- Standard grammar encoding:
- Right side of start nonterminal is encoded by zero order statistical coder.
- The set of remaining production rules is encoded by differential codes: $\{a b, a c\} \Rightarrow_{\text {enc }} a, b, \gamma_{0}(a-a), \gamma_{1}(c-b) \rightarrow a, b, \gamma_{0}(0), \gamma_{1}(1)$.
- The smallest grammar problem is not necessarily equal to the smallest compressed grammar problem.

$$
|m| \quad \Delta|m|^{H}
$$

Reduction paradox is present true false Allows $f(p)=1$ replacements false true

## Entropic Size Change - Algorithms

- Context Transformations: verification of $\Delta|m|^{H}>0$ :
- Context Transformations (CT, Vasinek 2014) - replacement rule $\alpha \beta \rightarrow \alpha \gamma$, if $p_{0}(\alpha \gamma)=0$.
- Generalized CT (GCT, Vasinek 2015) - any replacement rule $\alpha \beta \leftrightarrow \alpha \gamma$.
- Higher-Order CT (HOCT, Vasinek 2016) - replacement rule $w \beta \leftrightarrow w \gamma$, for $|w| \geq 1$.
- MinEnt strategy:
- MinEnt algorithm (Vasinek 2017) - replacement rule $\alpha \beta \rightarrow \gamma$.
- Context Dependent Re-Pair (CD-Re-Pair) - replacement of patterns with $f(p)=1$.


## Comparison of Re-Pair and MinEnt - bible.txt

- Re-Pair - the most frequent pair of symbols first.
- MinEnt - the pair of symbols with the highest $\Delta|m|^{H}$ first.

| Algorithm | $\left\|\Sigma_{n}\right\|$ | $H_{0}$ | $\left\|m_{n}\right\|$ | $b p B$ | $\|G\|$ |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Re-Pair | 81,246 | 14.88 | 386,517 | 1.85 | 548,883 |
| MinEnt | 84,880 | $\mathbf{1 4 . 7 2}$ | $\mathbf{3 7 2 , 6 6 3}$ | $\mathbf{1 . 8 0}$ | $\mathbf{5 4 2 , 2 9 7}$ |

## Comparison of Re-Pair and MinEnt - paper1

- paper1 is relatively small file (52kB).
- Re-Pair achieves smaller bpB ratio even through $H_{0}$ and $|m|$ are larger.
- The encoding of the set of production rules is prevailing factor.

| Algorithm | $\left\|\Sigma_{n}\right\|$ | $H_{0}$ | $\left\|m_{n}\right\|$ | $b p B$ | $\|G\|$ |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Re-Pair | 3,650 | 10.76 | 8,792 | $\mathbf{2 . 6 7}$ | $\mathbf{1 5 , 9 0 2}$ |
| MinEnt | 4,231 | $\mathbf{1 0 . 5 3}$ | $\mathbf{8 , 7 2 8}$ | 2.77 | 17,000 |

## Comparison of Re-Pair and MinEnt - E.coli

- E.Coli genome exhibits 0-order Markov I.I.D. source like behaviour, i.e. $H_{0} \approx H_{1} \approx \ldots H_{k}$.
- Re-Pair will try to compress but finishes with additional bits needed for storage of production rules.
- MinEnt won't produce any production rule.

| Algorithm | $\left\|\Sigma_{n}\right\|$ | $H_{0}$ | $\left\|m_{n}\right\|$ | $b p B$ | $\|G\|$ |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Re-Pair | 67,040 | 13.72 | $\mathbf{6 5 1 , 0 1 2}$ | 2.31 | $\mathbf{7 8 5 , 0 8 4}$ |
| MinEnt | 4 | 1.99 | $4,638,690$ | $\mathbf{2}$ | $\mathbf{4 , 6 3 8 , 6 9 0}$ |

## Summary

- Identification of main quantities responsible for the change of entropic size.
- Formulation of equation for computation of $\Delta|m|^{H}$.
- Proposal of algorithms GCT, HOCT, MinEnt and CD-Re-Pair selecting grammar production rules based on $\Delta|m|^{H}$.
- Proposal of other grammar-based compression algorithms: DBC, DBCR .


## Future Research

- Derivation of simple rules so that we don't have to compute $\Delta|m|^{H}$ directly.
- Selection of rules should not only count for $\Delta|m|^{H}$, but the resulting measure should also take into account storage of production rules.
- Utilization of pattern $p$ replacement with $f(p)=1$.
- Entropy of the set of production rules. Upper bounded by $d \log d+0.557 d$ (Tabei et al. 2016).


## References

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# Thank you for your attention! Questions and/or discussion? 

## Context Transformations

Question: $H_{0}(Y)=H_{k}(X)$ ?

- $Y_{0} Y_{1} Y_{2}=t\left(X_{1}, X_{2}, \ldots\right), t$ corresponds to $C T$.
- Assuming k-order Markov process and that $H_{0}(Y)=H_{k}(X)$.
- Assume that $p_{Y}(y \mid x) \neq p_{Y}(y) \Rightarrow$ we can compress $Y$ below $H_{0}$ using conditional probability, contradiction with $H_{0}(Y)=H_{k}(X)$.
- Conclusion is that $p_{Y}(y \mid x)=p_{Y}(y)$. All conditional distributions must be equal to distribution of symbols.
- It is possible to achieve $H_{k}(X)$ if there is a sequence of context transformations producing equal distributions.


## Context Transformations cont.

Example $H_{0}(Y)=H_{1}(X)$

| $p(y \mid x)$ | a | b |
| :---: | :---: | :---: |
| a | 0 | 1 |
| b | 1 | 0 |

- The sequence of letters: $s=a b a b a b a b \ldots$.
- $H_{1}(X)=0$ but $H_{0}(X)=1$.
- $\operatorname{GCT}(a b \leftrightarrow a a, s)=$ aaaaaaaa $\ldots \Rightarrow H_{0}(Y)=H_{1}(X)$.


## Context Transformations cont.

Example $H_{0}(Y) \neq H_{1}(X)$

| $p(x, y)$ | a | b | c |
| :---: | :---: | :---: | :---: |
| a | $p(a a)$ | $p(a b)$ | $p(a c)$ |
| b | $p(b a)$ | $p(b b)$ | $p(b c)$ |
| c | $p(c a)$ | $p(c b)$ | 0 |

- Assume $p(a)>p(b)>p(c)$ and $p(c b)>p(c a) \Rightarrow G C T(c b \leftrightarrow c a)$.
- If $H_{0}(Y)=H_{k}(X)$ then $p_{Y}(c \mid c)=p_{Y}(c)$, but $p_{Y}(c) \neq 0$ and $p_{Y}(c \mid c)=0$.


## Context Transformations cont.

Example $H_{0}(Y) \neq H_{1}(X)$

| $p(x, y)$ | a | b | c |
| :---: | :---: | :---: | :---: |
| a | $p(a a)$ | $p(a b)$ | $p(a c)$ |
| b | $p(b a)$ | $p(b b)$ | $p(b c)$ |
| c | $p(c a)$ | $p(c b)$ | $p(c c)$ |

- $p_{Y}(a \mid a)=\frac{p_{Y}(a a)}{p_{Y}(a)}=p_{Y}(a)$
- $p_{Y}(a a)=p_{X}(a a)-p_{X}(c a a)+p_{X}(c b a)$
- $p_{Y}(a)=p_{X}(a)+p_{X}(c b)-p_{X}(c a)$
- There are $\sigma^{k+1}$ such equations for $k$ order processes..


## Context Transformations - No Fixed Point

- Let $m=1111$
- Apply $G C T_{\rightarrow}(11 \leftrightarrow 01)$

1111<br>0001<br>0011<br>0101<br>1111

## Context Transformations in Comparison with MinEnt

- Context Transformations (CT) preserves the size of the alphabet and the message length $\Rightarrow$ formula for $\Delta|m|^{H}$ simplifies.
- Re-Pair and MinEnt alphabet size is increasing and the message length is reduced.
- Language produced by CT grammar contains more than one message $\Rightarrow$ rules must be applied in the reversed order.


## Context Transformations in Comparison with MinEnt cont.

| Filename | GCT | HOCT | MinEnt |
| :--- | :---: | :---: | :---: |
| book1 | 3.848 | 3.001 | 2.282 |
| paper1 | 4.197 | 2.316 | 1.978 |
| progc | 4.335 | 2.346 | 1.886 |
| alice29.txt | - | 2.608 | 1.939 |
| bible.txt | - | 2.662 | 1.461 |
| world192.txt | - | 2.617 | 1.314 |

## Sources of Inefficiency

- Large output alphabet.
- Frequencies of symbols ranges from 1 to $\sigma+1$.

| Filename | $\lceil\log \sigma\rceil$ | $H_{0}$ | $R$ |
| :--- | ---: | ---: | ---: |
| book1 | 15 | 13.417 | 1.583 |
| paper1 | 12 | 10.765 | 1.235 |
| progc | 12 | 10.496 | 1.504 |
| alice29.txt | 13 | 11.860 | 1.140 |
| bible.txt | 17 | 14.887 | 2.113 |
| world192.txt | 16 | 14.444 | 1.556 |

## MinEnt - The Smallest Grammar Problem

Approximation Ratio a(n)

$$
a(n)=\max _{x \in \Sigma^{n}}\left(\frac{\text { grammar size for } x \text { produced by } A}{\text { size of the smallest grammar for } x}\right)
$$

- Charikar et.al showed the class of strings $\sigma_{k}$ for which Re-Pair has $a(n)=\Omega(\sqrt{\log n})$.

$$
\sigma_{k}=\prod_{w=\sqrt{k}}^{2 \sqrt{k}} \prod_{i=0}^{w-1}\left(x^{b_{w, i}} \mid\right)
$$

- Using the same class of strings MinEnt won't infer any production rule and it has $a(n)=\Omega\left(\frac{n}{\sqrt{\log n}}\right)$.


## DBC Comparison

- DBC - Delimiter Based Compression
- DBCR - DBC followed by Re-Pair
- HuffW - HuffWord algorithm
- WLZW - Word based LZW
- WLZ77 - Word based LZ77

| Filename | $D B C$ | $D B C R_{p h}$ | $D B C R_{t h}$ | HuffW | WLZW | WLZ77 |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: |
| bible | 1.932 | $\mathbf{1 . 6 9 2}$ | 1.557 | 2.274 | 1.923 | 1.712 |
| world192 | 2.365 | 1.589 | 1.475 | 2.220 | 1.698 | $\mathbf{1 . 4 3 3}$ |

## MinEnt - Time Complexity

Suppose a message $m=\left(\prod_{x \in \Sigma} x\right)^{2}$. Example: $m=(a b c d)^{2}=a b c d a b c d$.

- Message length: $n=|m|=2|\Sigma|=2 \sigma$
- Number of candidate rules: $d=\sigma=n / 2$.
- Number of $\Delta|m|^{H}$ computations $c$, when $\Delta|m|^{H}$ is recomputed in each iteration of the algorithm:

$$
c=d+d-1+\cdots+1=\frac{d(d+1)}{2}=\frac{n^{2}}{8}+\frac{n}{4}=O\left(n^{2}\right)
$$

- If we recompute $\Delta|m|^{H}$ per $\frac{n}{\log n}$ iterations:

$$
c=O(n \log n)
$$

- If we compute $\Delta|m|^{H}$ once in the beginning and once when all $\Delta|m|^{H}$ are negative:

$$
c=O(n)
$$

## MinEnt vs. Re-Pair - Execution Times

- $k$ - per how many iterations of MinEnt we recompute $\Delta|m|^{H}$ of all candidate pairs.
- $k=S E-\Delta|m|^{H}$ computed once in the beginning and when all pairs have $\Delta|m|^{H}$ negative.

MinEnt

| Filename | Re-Pair | $k=S E$ | $k=\frac{n}{\log n}$ | $k=1$ |
| :--- | ---: | ---: | ---: | ---: |
| alice29.txt | 0.060 | 0.062 | 0.068 | 5.929 |
| bible.txt | 1.815 | 1.750 | 1.784 | 1238.288 |
| world192.txt | 1.008 | 0.982 | 0.993 | 516.038 |

## Speeding up MinEnt

- Do we have to recompute all $\Delta|m|^{H}$ in each iteration of the algorithm and still obtain the same or at least approximately the same order of pairs?
- The change of $\Delta|m|^{H}$ of symbols whose frequency doesn't change is less significant than the entropic size change of symbols participating in the rule.
- When all symbols have very low frequency (below $f_{\text {lim }}$ given in Proposition 1 in thesis) we can switch to Re-Pair processing and $\Delta|m|^{H}$ will be always positive.
- Compute $\Delta|m|^{H}$ only to avoid reduction paradox in Re-Pair.


## Extra Comments

$$
H_{0}(S)=\sum_{x \in \Sigma} \frac{n_{x}}{n} \log \frac{n}{n_{x}}=\log n-\frac{1}{n} \sum_{x \in \Sigma} n_{x} \log n_{x}
$$

Is it sufficient to find a pair $(a, b)$ that maximizes the following formula to mimic behaviour of MinEnt?

$$
n_{a} \log n_{a}+n_{b} \log n_{b}-n_{a b} \log n_{a b}
$$

