

Topological Complexity III

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Disjointness and weak disjointness

- 1 $(X, f), (Y, g)$ are **weakly disjoint** if $(X \times Y, f \times g)$ is transitive
- 2 $(X, f), (Y, g)$ are **disjoint** if the only joining,
 - i.e. $J \subset X \times Y$ invariant for $f \times g$
 - with projections X and Y on respective coordinatesis $J = X \times Y$.
- 3 (X, f) can be weakly disjoint with itself (e.g. weak mixing) but not disjoint (Δ is a joining).
- 4 if (X, f) and (Y, g) are disjoint then one of them is minimal.

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Disjointness, distality and minimality

Theorem (Furstenberg, 1967)

If (X, f) is weakly mixing and (Y, g) is minimal and distal then they are disjoint.

Theorem (Petersen, 1970)

If (X, f) is disjoint with all distal systems if and only if it is minimal and weakly mixing.

Theorem (Blanchard, Host, Mass, 2000)

If (X, f) is scattering and (Y, g) is minimal and distal then they are disjoint.

Question

Can the above be proved without Furstenberg's structure theorem of distal systems?

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Related question

Theorem

If *transitive* (X, f) is disjoint with all minimal systems then it is weakly mixing.

Theorem

If (X, f) is weakly mixing and has dense distal points then it is disjoint with all minimal systems.

Question (Furstenberg, 1967)

What is exactly the class of (*transitive*) systems disjoint with all minimal systems?

Local aspects of complexity

- 1 a **standard** cover $\mathcal{C} = \{C, D\}$ **separates** points $x, y \in X$ if $x \in \text{Int } C^c$ and $y \in \text{Int } D^c$, where $A^c = X \setminus A$.
- 2 points $x \neq y$ are a **complexity pair** if $c(\mathcal{C}, \cdot)$ is unbounded for any standard cover \mathcal{C} separating x, y .
- 3 $\text{Com}(X, f)$ – set of complexity pairs
- 4 $\text{Com}(X, f) \cup \Delta$ is closed and $f \times f$ invariant.
- 5 if $\mathcal{U} = \{U, V\}$ is a standard cover with unbounded complexity, then $(U^c \times V^c) \cap \text{Com}(X, f) \neq \emptyset$
- 6 if $\pi: (X, f) \rightarrow (Y, g)$ is a factor map then:
 - 1 if $x, y \in \text{Com}(X, f)$ with $\pi(x) \neq \pi(y)$ then $(\pi(x), \pi(y)) \in \text{Com}(Y, g)$,
 - 2 if $x, y \in \text{Com}(Y, g)$ then $\pi^{-1}(\{x\}) \times \pi^{-1}(\{y\}) \cap \text{Com}(X, f) \neq \emptyset$.

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Complexity pairs and maximal equicontinuous factor

- 1 Every dynamical system (X, f) possesses an equicontinuous factor (Y, g) (and factor map $\pi: (X, f) \rightarrow (Y, g)$) (called **maximal equicontinuous factor**), such that
 - for any equicontinuous (Z, h) and factor map $\phi: (X, f) \rightarrow (Z, h)$
 - there is factor $\psi: (Y, g) \rightarrow (Z, h)$ such that $\phi = \psi \circ \pi$.
- 2 maximal equicontinuous factor is **unique up to conjugacy**.

Theorem

Let R be the smallest ICER (invariant, closed equivalence relation) such that $\text{Com}(X, f) \subset R$. Then $\pi: (X, f) \rightarrow (X/R, f/R)$ is maximal equicontinuous factor.

Regionally proximal relation

- 1 $x, y \in \text{RP}(X, f)$ if for every
 - open neighborhoods $U \ni x, V \ni y$
 - $\varepsilon > 0$there are $n > 0$ and $u \in U, v \in V$ such that $d(f^n(u), f^n(v)) < \varepsilon$.
- 2 $\text{RP}(X, f)$ is **closed** and **invariant**. If (X, f) is minimal then $\text{RP}(X, f)$ is an equivalence relation.

Theorem

If (X, f) is *invertible* then $\text{Com}(X, f) \cup \Delta \subset \text{RP}(X, f)$ and if it is additionally *minimal* then $\text{Com}(X, f) \cup \Delta = \text{RP}(X, f)$.

Complexity along sequences

- ① For an infinite set $A = \{a_1 < a_2 < \dots\}$ and cover \mathcal{C} define

$$C_A(\mathcal{C}) = \lim_{n \rightarrow \infty} r\left(\bigvee_{j=1}^n f^{-a_j}(\mathcal{C})\right).$$

- ② A is **thick** if for every n there is i such that $\{i, i+1, \dots, i+n\} \subset A$.
③ A is **syndetic** if $\mathbb{N} \setminus A$ is not thick
④ A is **piecewise syndetic** if $A = S \cap T$ for some syndetic S and thick T

Theorem

Let (X, f) be a dynamical system. The following conditions are equivalent:

- ① (X, f) is scattering
② $C_A(\mathcal{U}) = \infty$ for any standard open cover \mathcal{U} of X and any syndetic (or piecewise syndetic) set A .
③ $C_A(\mathcal{U}) = \infty$ for any nontrivial open cover \mathcal{U} of X and any syndetic (or piecewise syndetic) set A .

Mild mixing

- 1 (X, f) is **mild mixing** if $(X \times Y, f \times g)$ is transitive for every transitive (Y, g) (i.e. mild mixing \equiv weakly disjoint from all transitive systems).
- 2 mild mixing \implies weak mixing
- 3 $A \subset \mathbb{N}$ is **IP-set** if $A = \{p_{i_1} + \dots + p_{i_k} : i_1 < i_2 < \dots < i_k\}$ for some sequence $p_1, p_2, \dots \subset \mathbb{N}$.

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Let (X, f) be a dynamical system. The following conditions are equivalent:

- 1 (X, f) is mild mixing
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- 3 $C_A(\mathcal{U}) = \infty$ for any nontrivial open cover \mathcal{U} of X and any IP-set A .

Uniform rigidity

- 1 (X, f) is **uniformly rigid** if for every $\varepsilon > 0$ there is $n > 0$ such that $d(x, f^n(x)) < \varepsilon$ for every $x \in X$.

Theorem

If a nontrivial (X, f) is mild mixing then

- it is **not** uniformly rigid,
- it is **disjoint** with **minimal** uniformly rigid systems.

Example (Glasner & Maon)

There exists minimal, weakly mixing and uniformly rigid dynamical system.

mild mixing \implies weak mixing \implies **scattering** \implies total transitivity

Entropy pairs (Blanchard, 1993)

- 1 points $x \neq y$ are a **entropy pair** if $h_{\text{top}}(f, \mathcal{U}) > 0$ for any standard open cover $\mathcal{U} = \{U, V\}$ separating x, y (i.e. $x \in \text{Int } U^c, y \in \text{Int } V^c$).
- 2 $E_2(X, f)$ – set of **entropy pairs**.
- 3 $E_2(X, f) \cup \Delta$ is closed and $f \times f$ invariant.
- 4 $E_2(X, f) \neq \emptyset$ if and only if $h_{\text{top}}(f) > 0$.
- 5 if $\pi: (X, f) \rightarrow (Y, g)$ is a factor map then:
 - 1 if $x, y \in E_2(X, f)$ with $\pi(x) \neq \pi(y)$ then $(\pi(x), \pi(y)) \in E_2(Y, g)$,
 - 2 if $x, y \in E_2(Y, g)$ then $\pi^{-1}(\{x\}) \times \pi^{-1}(\{y\}) \cap E_2(X, f) \neq \emptyset$.In other words $E_2(Y, g) \subset (\pi \times \pi)(E_2(X, f)) \subset E_2(Y, g) \cup \Delta_Y$.

Remark

A dynamical system (X, f) has uniformly positive entropy iff $E_2(X, f) \cup \Delta = X \times X$.

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A dynamical system (X, f) has uniformly positive entropy iff $E_2(X, f) \cup \Delta = X \times X$.

Theorem

If (X, f) has u.p.e then it is *disjoint* with all *minimal* systems with *zero entropy*.

Entropy pairs and topological Pinsker factor (Blanchard & Lacroix, 1993)

- Every dynamical system (X, f) possesses a factor (Y, g) with **zero entropy** (and factor map $\pi: (X, f) \rightarrow (Y, g)$) (called **topological Pinsker factor**), such that
 - for any zero entropy system (Z, h) , i.e. $h_{\text{top}}(h) = 0$, and factor map $\phi: (X, f) \rightarrow (Z, h)$
 - there is factor $\psi: (Y, g) \rightarrow (Z, h)$ such that $\phi = \psi \circ \pi$.
- the topological Pinsker factor (or maximal zero entropy factor) is **unique up to conjugacy**.

Theorem

Let R be the smallest ICER (invariant, closed equivalence relation) such that $E_2(X, f) \subset R$. Then $\pi: (X, f) \rightarrow (X/R, f/R)$ is topological Pinsker factor.

u.p.e. and mixing

- 1 if $h_{\text{top}}(\mathcal{U}, f) > 0$ then $c(\mathcal{U}, \cdot)$ grows exponentially for every standard cover, hence there is a chance for
 - u.p.e. \implies weak mixing

But in fact, more can be proved.

Theorem (Huang, Shao, Ye, 2005)

If (X, f) is *transitive* and $\{(x, f(x)) : x \in X\} \subset \overline{E_2(X, f)}$ (so-called diagonal flow) then it is mild mixing. In particular *u.p.e. implies mild mixing*.

Theorem (Huang, Shao, Ye, 2005)

If (X, f) is a minimal topological K system then it is mixing.

Question

Is every minimal u.p.e. system mixing?

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Asymptotic pairs

- points x, y are **asymptotic** if $\lim_{n \rightarrow \infty} d(f^n(x), f^n(y)) = 0$
- the set of asymptotic pairs is denoted **Asy**(X, f).

Theorem (Blanchard, Host and Ruette, 2004)

For every dynamical system $\overline{\text{Asy}(X, f)} \supset E_2(X, f)$.

Corollary

If $\pi: (X, f) \rightarrow (Y, g)$ is such that $x, y \in \text{Asy}(X, f)$ implies $\pi(x) = \pi(y)$ then $h_{\text{top}}(g) = 0$.

Theorem (Huang, Li, Ye, 2013)

For every dynamical system $\text{Asy}(X, f) \cap E_2(X, f)$ is dense in $E_2(X, f)$.

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Completely recurrent systems

- 1 $x \in \text{Rec}(X, f)$ if $\liminf_{k \rightarrow \infty} d(f^k(x), x) = 0$.

Remark

If $\text{Rec}(X \times X, f \times f) = X \times X$ then $\text{Asy}(X, f) = \Delta$, in particular $E_2(X, f) = 0$ and hence $h_{\text{top}}(X, f) = 0$.

- 1 in particular, uniformly rigid systems have entropy 0.




Question

Let (X, f) be **invertible** and suppose that for every $(x, y) \in X \times X$ there is a sequence $\lim_{k \rightarrow \infty} |n_k| = \infty$ such that $\lim_{k \rightarrow \infty} d(f^{n_k}(x), f^{n_k}(y)) = (x, y)$.



Is it true that $h_{\text{top}}(f) = 0$?

Further reading

How all that started....

-  H. Furstenberg, *Disjointness in ergodic theory, minimal sets, and a problem in Diophantine approximation*, Math. Systems Theory, **1** (1967), 1-49.
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