

Topological Complexity – II

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VSB, IT4Innovations, Ostrava, Feb 17, 2015

Recall: our setting

- 1 (X, d) - compact metric space
- 2 $f: X \rightarrow X$ - continuous
- 3 f is (topologically) **weakly mixing** for any open sets $U_1, U_2, V_1, V_2 \neq \emptyset$ the following condition holds:

$$\exists n > 0 \quad f^n(U_1) \cap U_2 \neq \emptyset, \quad f^n(V_1) \cap V_2 \neq \emptyset.$$

- 4 f is (topologically) **mixing** if for any open sets $U_1, U_2 \neq \emptyset$ the following condition is satisfied:

$$\exists N > 0 \quad \forall n > N \quad f^n(U_1) \cap U_2 \neq \emptyset.$$

Symbolic dynamics and spacing shifts

① **full shift**- set $\{0, 1\}^{\mathbb{N}}$ with

- metric d :

$$d(x, y) = \begin{cases} 0 & x = y \\ 2^{-k} & x \neq y, k = \min \{i : x_i \neq y_i\} \end{cases}$$

- map σ given by $\sigma(x)_i = x_{i+1}$

② P – set of nonnegative integers. Define **spacing shift**

$$\Sigma_P = \{x : x_i = x_j = 1 \implies |i - j| \in P \cup \{0\}\}$$

③ write $\sigma_P = \sigma|_{\Sigma_P}$ or simply σ

Example

weak mixing
 \Downarrow
mixing

Theorem

- 1 σ is *weakly mixing* (on Σ_P) iff P is *thick*
- 2 σ is *mixing* (on Σ_P) iff P is *co-finite*

(Sketch of proof)

Recall: complexity function and scattering

- 1 Let $\mathcal{C} = (C_1, \dots, C_n)$. If k is the **minimal** number of elements of \mathcal{C} covering X (i.e. $X = C_{i_1} \cup \dots \cup C_{i_k}$ for some $1 \leq i_1, \dots, i_k \leq n$), then we put $r(\mathcal{C}) = k$.
- 2 $c(\mathcal{C}, n) = r(\mathcal{C}_0^{n-1})$ – **complexity function**.

Definition (Blanchard, Host, Mass, 2000)

A dynamical system (X, f) is **scattering** (resp. **2-scattering**) if any **nontrivial** (resp. **standard**) open cover \mathcal{U} has unbounded complexity function $c(\mathcal{U}, \cdot)$.

Scattering, weak mixing and transitivity

Theorem

If (X, f) is *weakly mixing* then it is *scattering*.

Proof (sketch)

For \mathcal{C} with $r(\mathcal{C}) = k$ denote $I(\mathcal{C}) = \{C_{i_1}^c \cap \dots \cap C_{i_{k-1}}^c : C_{i_j} \in \mathcal{C}\}$

Lemma

If \mathcal{C}, \mathcal{D} are covers with $r(\mathcal{C}) = k$, $r(\mathcal{D}) = \ell$ and $A \cap B \neq \emptyset$ for every $A \in I(\mathcal{C})$, $B \in I(\mathcal{D})$ then $r(\mathcal{C} \vee \mathcal{D}) \geq k + \ell - 1$.

Theorem

If for any open cover $\mathcal{U} \in \mathcal{C}_X$ there is $n > 0$ such that $c(\mathcal{U}, n) > n + 1$ then (X, f) is *weakly mixing*.

Scattering, weak mixing and transitivity

Theorem

If (X, f) is weakly mixing then it is scattering.

Theorem

If for any open cover $\mathcal{U} \in \mathcal{C}_X$ there is $n > 0$ such that $c(\mathcal{U}, n) > n + 1$ then (X, f) is weakly mixing.

Proof (sketch)

Scattering, weak mixing and transitivity

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Theorem

If (X, f) is *2-scattering* then (X, f^n) is 2-scattering and *transitive* for $n = 1, 2, 3, \dots$

weak mixing \implies scattering \implies 2-scattering \implies total transitivity

A characterization of scattering

Theorem

For transitive (X, f) the following conditions are equivalent:

- 1 (X, f) is not scattering,
- 2 there is a minimal (and invertible) (Y, g) such that $(X \times Y, f \times g)$ is not transitive
- 3 there exists a minimal (and invertible) (Y, g) , $N > 0$ and a proper invariant closed set $J \subset X \times Y$ for $f \times g$ such that

$$X \times Y = \bigcup_{n=0}^N (Id \times g^n)(J).$$

A characterization of scattering

Corollary

For transitive (X, f) the following conditions are equivalent:

- 1 (X, f) is scattering,
- 2 for every minimal (and invertible) (Y, g) the product $(X \times Y, f \times g)$ is transitive.

Equicontinuous systems

- 1 x is **equicontinuous** if for every $\varepsilon > 0$ there is $\delta > 0$ such that if $d(x, y) < \delta$ then $d(f^n(x), f^n(y)) < \varepsilon$ for every $n > 0$.
- 2 (X, f) is **equicontinuous** if every $x \in X$ is equicontinuous.
- 3 (X, f) is **almost equicontinuous** if every $x \in Z$ is equicontinuous for some residual $Z \subset X$.
- 4 if (X, f) is **transitive** and some $x \in X$ is equicontinuous then (X, f) is **almost equicontinuous**.
- 5 if nontrivial (X, f) is **weakly mixing** then there is no equicontinuous point in X (i.e. (X, f) cannot be almost equicontinuous).

Theorem

Let (X, f) be a dynamical system. The following conditions are equivalent:

- 1 (X, f) is equicontinuous,
- 2 $c(\mathcal{C}, \cdot)$ is **bounded** for every finite open cover \mathcal{C} of X .

Example of Akin and Glasner

Example

There exists almost equicontinuous scattering system.

scattering $\not\Rightarrow$ weak mixing

Theorem

If (X, f) is minimal then the following properties are equivalent:

- 1 *2-scattering*
- 2 *scattering*
- 3 *weak mixing*

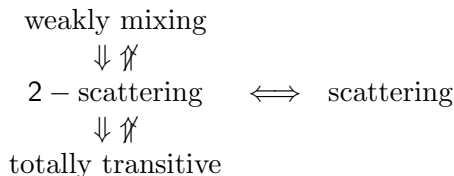
Later in this talk it will be more clear why...

Result of Huang and Ye

Theorem

Let (X, f) be a dynamical system. The following conditions are equivalent:

- 1 (X, f) is 2-scattering
- 2 (X, f) is scattering



Distality

- 1 $x, y \in X$ are **proximal** if $\liminf_{n \rightarrow \infty} d(f^n(x), f^n(y)) = 0$.
- 2 x is **distal** if x, y are not proximal for every $y \in \overline{\text{Orb}^+(x, f)} \setminus \{x\}$.
- 3 (X, f) is **distal** if every $x \in X$ is distal.
- 4 if (X, f) is distal then it is union of minimal systems.

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Theorem (Glasner, Weiss; Akin et. al)

If f is **transitive** and **almost equicontinuous** then:

- 1 f is a **homeomorphism**,
- 2 a point x is **transitive** iff it is **equicontinuous**
- 3 f is **uniformly rigid**, i.e. for every $\varepsilon > 0$ there is $n > 0$ such that $d(x, f^n(x)) < \varepsilon$ for every $x \in X$.

Corollary

Every transitive equicontinuous system is distal.

Distality

- 1 $x, y \in X$ are proximal if $\liminf_{n \rightarrow \infty} d(f^n(x), f^n(y)) = 0$.
- 2 x is distal if x, y are not proximal for every $y \in \overline{\text{Orb}^+(x, f)} \setminus \{x\}$.
- 3 (X, f) is distal if every $x \in X$ is distal.
- 4 if (X, f) is distal then it is union of minimal systems.

Example

Dynamical system T on tori defined by

$$T(x, y) = (x + \alpha \pmod{1}, x + y \pmod{1})$$

is distal but not equicontinuous.

Corollary

Every transitive equicontinuous system is distal.